

February 2003

DEPARTMENT OF THE INTERIOR

Privacy Act System of Records Notices

Below is a listing of the Department of the Interior Privacy Act system of records notices as they appear in the Government Printing Office *Privacy Act Issuances, 2001 Compilation*. Also listed are notices published in the *Federal Register* since this Compilation, and Government-wide Privacy Act Systems of Records Notices that affect the Department.

For the complete notice text go to the Government Printing Office website for *Federal Register* Notices/Privacy Act Issuances via *GPO Access at*:

http://www.access.gpo.gov/su_docs/aces/2001_pa.html and scroll down to the "Interior Department" listing and click on the appropriate "Part" and then hit the "Select" button below the listing. The Contents of the "Parts" are as follows:

- Part I: Index of DOI Privacy Act notices
All Office of the Secretary and Interior notices
BIA-1 through BIA-13
- Part II: BIA-14 through NPS-23
- Part III: FWS-4 through BLM-23
- Part IV: BLM-26 through SOL-5
Appendix listing DOI installation addresses

I. Office of the Secretary:

- **Management Information--Interior, Office of Inspector General--1.**
Categories of individuals covered by the system: Past, present and prospective departmental employees, contractors, subcontractors, grantees, subgrantees, lessees, licensees, and other persons doing business with the Department, or having contact with the Department or geographical areas under its jurisdiction.
- **Investigative Records--Interior, Office of Inspector General--2.**
Categories of individuals covered by the system: Past, present, and prospective Departmental employees, contractors, subcontractors, grantees, subgrantees, lessees, licensees, and other persons doing official business with the Department, or having contact with the Department or geographical areas under its jurisdiction.
- **Computerized ID Security System, Office of the Secretary --1.**
Categories of individuals covered by the system: All individuals who have had access to the Main and South Interior Buildings. These include, but are not limited to, the following groups: Current agency employees, former agency employees, agency contractors, persons authorized to perform or to use services provided in the Main and South Interior Buildings (e.g., Department of the Interior Federal Credit Union, Interior Recreation Association Fitness Center, etc.), volunteers and visitors. Categories of records in the system.
- **Individual Indian Money (IIM) Trust Funds, Interior, OS--2.**
Categories of individuals covered by the system: Individual Indians who have money accounts.
- **Official Pilot Folder, OAS--1.**
Categories of individuals covered by the system: Professional, dual-function and incidental pilots employed by Department of the Interior bureaus and offices. Categories of records covered by the system.

- **Aircraft Services Administrative and Fiscal Records, OAS--2.**
Categories of individuals covered by the system: Employees of the Office of Aircraft Services.
- **Interior Child Care Subsidy Program, DOI--1.**
Category of individuals covered by the system: Present and former employees of the Department of the Interior who voluntarily apply for a child care subsidy, their spouses, and children.
- **Financial Interest Statements and Ethics Counselor Decisions--Interior, Office of the Secretary--3.**
Categories of individuals covered by the system: Departmental employees required to file financial interests or disclosure statements as required by 5 CFR part 2634 and 5 CFR 3501.101, and Departmental employees subjected to remedial or disciplinary action for conflicts of interest or other ethics violations.

- **Employee Assistance Program Records, DOI--4.**
Categories of individuals covered by the system: Employees of the Department of the Interior and their families who seek, are referred to, and/or receive assistance through the Employee Assistance Program.
- **Interior Volunteer Services file System, DOI--5.**
Categories of individuals covered by the system: Persons performing work for the Department of Interior as volunteers but not as an employee of the agency. It includes interested persons, individuals involved in community, youth organizations, high schools and colleges.
- **Federal and Non-Federal Aviation Personnel, Equipment, and Mishap Information System-Interior, DOI-07.**
Categories of individuals covered by the system: (1) Professional, dual-function and incidental pilots, air crews, mechanics and ground personnel employed by Interior bureaus. (2) Pilots, aircrews, mechanics, and ground personnel of commercial operators utilized by Interior bureaus. (3) Pilots, aircrews, mechanics, and ground personnel of cooperating government agencies, organizations and private individuals.
- **Aircraft Services Administrative Management and Fiscal Records--Interior, Office of the Secretary--8.**
Categories of individuals covered by the system: Employees and some former employees of the Office of Aircraft Services.
- **Electronic Email Archive System (EEAS), Interior-OS-10. (Published January 28, 2003, 68 FR 4220-4221)**
Categories of individuals covered by the system: The system contains information on individuals who send and receive electronic messages using Internet email and interoffice email from and to those Departmental bureaus/offices involved with Indian Trust programs, and those individuals who are referred to in the electronic messages.
- **Private Relief Claimants, Department--Interior, Office of the Secretary--12.**
Categories of individuals covered by the system: Individual claimants against the United States seeking remedy through private relief bills for claims involving the programs and activities of the Department of the Interior.
- **Private Relief Claimants, Bureau--Office of the Secretary--13.**
Individual claimants against the United States seeking remedy through private relief bills for claims involving the programs and activities of the Department of the Interior.
- **Discrimination Complaints--Interior, Office of the Secretary--18.**
Categories of individuals covered by the system: Individuals who claim to have been discriminated against on the basis of race, color, sex, religion, national origin, handicap, age and/or sexual orientation in violation of various statutes and regulations including Title VI and Title VII of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d and 42 U.S.C. 2000e, et seq); Section 501 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 791, et seq) and its implementing regulations; the Age Discrimination in Employment Act of 1967, as amended (29 U.S.C. 794, et seq) and its implementing regulations; the Age Discrimination in Employment Act of 1967, as amended (29 U.S.C. 621, et seq); Title IX of the Education Amendments of 1972 (Pub. L. 92-318); Section 403 of the Trans-Alaska Pipeline Authorization Act (Pub. L. 93-153.87 Stat. 576); and Departmental Manual 373 DM 7, dated December 1, 1998, subject: Equal Opportunity Procedures for Processing Complaints of Discrimination Based on Sexual Orientation.
- **Secretarial Controlled Correspondence File--Interior, Office of the Secretary--20.** Categories of individuals covered by the system: Persons who have written to the Secretary of the Interior on official business.

- **Youth Conservation Corps (YCC) Enrollee Records--Interior, Office of the Secretary--25.** Categories of individuals covered by the system: Enrollees (YCC) of USDI Federal YCC program.
- **Youth Conservation Corps (YCC) Enrollee Payroll Records File--Interior, Office of the Secretary--26.** Categories of individuals covered by the system: Youth accepted into the YCC program.
- **Youth Conservation Corps (YCC) Medical Records File--Office of the Secretary--27.** Categories of individuals covered by the system: Enrollees of past Interior Federal YCC program.
- **Youth Conservation Corps (YCC) Research File--Interior, Office of the Secretary--28.**
Categories of individuals covered by the system: A random sample of 600 1973 YCC enrollees.
- **Youth Conservation Corps (YCC) Recruitment Files--Interior, Office of the Secretary--29.** Categories of individuals covered by the system: Youths between the ages of 15 and 18 who file an application to attend a Department of the Interior, or State Grant YCC camp.
- **Library Circulation Control System--Interior, Office of the Secretary--35.**
Categories of individuals covered by the system: Individuals borrowing library materials from the Department of the Interior library.
- **Telephone Call Detail Records--Interior, Office of the Secretary--36.**
Categories of individuals covered by the system: Individuals (generally Department, bureau/office, and contractor employees) who make long distance telephone calls and individual who receive long distance telephone calls placed from or charged to DOI telephones.
- **Personnel Security Files--Interior, Office of the Secretary--45.**
Categories of individuals covered by the system: Employees in the Office of the Secretary, Bureau heads, Bureau Security Officers, and employees of other Departmental offices and of independent agencies, councils and commissions (which are provided administrative support), whose duties have been designated "special sensitive," "critical sensitive," "noncritical sensitive" or "clearance for FEMA special access program."
- **Secretarial Subject Files--Interior, Office of the Secretary--46.**
Categories of individuals covered by the system: Individuals writing to or receiving correspondence from the following Department of the Interior officials: The Secretary, the Under Secretary, the Deputy Secretary, the Solicitor, the Assistant Secretaries, the Deputy Assistant
- **Parking Assignment Records--Interior, Office of the Secretary--47.**
Categories of individuals covered by the system: Individuals requesting a parking permit or joining a carpool from both the Federal and private sector. Categories of records in the system.
- **Property Accountability and Control System--Interior, Office of the Secretary--51.** Categories of individuals covered by the system: (1) Individuals designated as Custodial Officers in the Office of the Secretary. (2) Individuals in independent agencies, councils, and commissions (which are supported, administratively, by the Office of the Secretary) who are charged with the management of property assigned to their agency, council, or commission.

- **Passport and Visa Records--Interior, Office of the Secretary--52.**
Categories of individuals covered by the system: (1) Employees of the Department of the Interior who travel on official business. (2) Employees of independent agencies, councils, and commissions who are provided administrative support by the Department of the Interior who travel on official business. (3) Individuals who travel on official business on behalf of the Department of the Interior.
- **Privacy Act Files--Interior, Office of the Secretary--57.**
Categories of individuals covered by the system: Individuals who have submitted requests for notification of the existence of, access to, and petitions for amendment of records maintained in formally designated ``systems of records" under the Privacy Act. Individuals who have filed Privacy Act appeals with the Assistant Secretary-Policy, Management and Budget in accordance with Departmental Privacy Act appeal procedures.
- **Employee Administrative Records--Interior, Office of the Secretary--58.**
Categories of individuals covered by the system: (1) Employees of the Department of the Interior. (2) Employees of independent agencies, councils and commissions (which are supported, administratively, by the Office of the Secretary).
- **Safety Management Information System--Interior, Office of the Secretary--60.** Categories of individuals covered by the system: Employees of the Department of the Interior, contractors, concessionaires, and public visitors to Interior facilities who have been involved in an accident resulting in personal injury and/or property damage.
- **Committee Management Files--Interior, Office of the Secretary--68.**
Categories of individuals covered by the system: Members of advisory committees and candidates for advisory committee membership.
- **Freedom of Information Appeal Files--Interior, Office of the Secretary--69.**
Categories of individuals covered by the system: Members of advisory committees and candidates for advisory committee membership.
- **Electronic FOIA Tracking System and FOIA Case Files—Interior, DOI-71.** (Amended September 18, 2002 in 67 FR 58817-58819). (Previously Freedom of Information Request Files System--Interior, Office of the Secretary—71). Categories of individuals covered by the system: Individuals who have submitted FOIA requests under Department of the Interior FOIA procedures.
- **FECA Chargeback Case Files--Interior, Office of the Secretary—72.**
Categories of individuals covered by the system: Current and former Departmental employees and dependents receiving compensation payments through the Federal Employees' Compensation Act (FECA) which are being charged back to the Department of the Interior.
- **Grievance Records--Interior, Office of the Secretary--74.**
Categories of individuals covered by the system: Departmental employees filing grievances in accordance with 370 DM 771.
- **Employee Training and Career Development Records--Interior, Office of the Secretary--76.** Categories of individuals covered by the system: Current employees of the Department of the Interior
- **Unfair Labor Practice Charges/Complaints Files--Interior, Office of the Secretary--77.** Categories of individuals covered by the system: Departmental employees filing unfair labor practice charges/ complaints.

- **Negotiated Grievance Procedure Files--Interior, Office of the Secretary--78.**
Categories of individuals covered by the system: Departmental employees filing grievances/complaints. Categories of records in the system.
- **Interior Personnel Records--Interior, Office of the Secretary--79.**
Categories of individuals covered by the system: Current and recently separated employees of the Department of the Interior.
- **Executive Development Program Files--Interior, Office of the Secretary--82.**
Categories of individuals covered by the system: Departmental employees who apply for, participate in, and/or graduate from Departmentwide executive development programs such as the Senior Executive Service Candidate Development Program and the Team Leadership Program.
- **Delinquent Debtor File--Interior, Office of the Secretary--84.**
Categories of individuals covered by the system: Employees, former employees, and other Federal employees indebted and owing money to the Department of the Interior.
- **Payroll, Attendance, Retirement, and Leave Records--Interior, Office of the Secretary--85.** Categories of individuals covered by the system: (1) All employees of the Department of the Interior. (2) Employees of independent agencies, councils, and commissions (which are supported, administratively, by the Office of the Secretary.)
- **Accounts Receivable--Interior, Office of the Secretary--86.**
Categories of individuals covered by the system: Individuals owing money to the Office of the Secretary, including employees of the Department, former employees of the Department, business firms, institutions, and private citizens.
- **Travel Management Records, Interior, Office of the Secretary--88.**
Categories of individuals covered by the system (1) Employees of the Office of the Secretary, (2) Employees of independent agencies, councils, and commissions (which are supported, administratively, by the Office of the Secretary, (3) Persons serving the Department in other capacities, without compensation, to the extent authorized under 5 USC.
- **Federal Financial System--Interior, Office of the Secretary--90.**
Categories of individuals covered by the system: (1) Employees and former employees of the Department of the Interior. (2) Employees of other Federal agencies, councils and commissions using the system under a cross-servicing arrangement. (3) Persons serving without compensation to the extent authorized under 5 U.S.C. 5703, consultants, foreign participants, volunteers, contractors, and private citizen debtors and creditors who are serviced by either of the National Business Center locations listed above.
- **Pilot Flight Time Research--Interior, Office of the Secretary--97.**
Categories of individuals covered by the system: Professional, dual-function and incidental pilots employed by Interior Bureaus/ Offices.

II. Bureau of Indian Affairs.

- **Property Loan Agreement Files--Interior, BIA--1.**
Categories of individuals covered by the system: Individual Indians or non-Indians having a need for Government- owned real or personal property for use in a Bureau program. Categories of records in the system.

- **Safety Management Information--Interior, BIA--2.**
Categories of individuals covered by the system: Individual Indians or non-Indians having a need for Government- owned real or personal property for use in a Bureau program. Categories of records in the system.
- **Indian Land Records--Interior, BIA--4.**
Categories of individuals covered by the system: Individual Indians and Indian tribal groups that owners of land held in trust by the government.
- **Indian Land Leases--Interior, BIA--5.**
Categories of individuals covered by the system: Individual Indian and Indian tribal groups that are owners of real property held in trust by the government, and individuals or groups that are potential or actual lessees of that property.
- **Navajo-Hopi Joint Use Project--Interior, BIA--6.**
Categories of individuals covered by the system: Navajo and Hopi Indians who are residents of the Joint Use Area in Arizona.
- **Tribal Rolls--Interior, BIA--7.**
Categories of individuals covered by the system: Individual Indians who are applying for or have been assigned interests of any kind in Indian tribes, bands, pueblos or corporations
- **Indian Social Services Case Files--Interior, BIA--8.**
Categories of individuals covered by the system: Individual Indians who apply and receive social services and direct assistance from the Bureau of Indian Affairs on Indian reservations.
- **Traders License Files--Interior, BIA--9.**
Categories of individuals covered by the system: Applicants requesting licenses to trade on Indian reservations. Categories of records in the system.
- **Indian Housing Improvement Program--Interior, BIA--10.**
Categories of individuals covered by the system: Individual Indians who qualify as housing improvement participants.
- **Indian Business Development Program (Grants)--Interior, BIA--11.**
Categories of individuals covered by the system: Individual Indians who qualify as housing improvement participants
- **Indian Trust Land Mortgages--Interior, BIA--12.**
Categories of individuals covered by the system: Individual Indians who mortgaged trust land to customary lenders. Categories of records in the system.
- **Indian Loan Files--Interior, BIA--13.**
Categories of individuals covered by the system: Applicants who applied for or received loans. Applicants who applied for or received guaranteed loans.
- **Travel Accounting System--Interior, BIA--14.**
Categories of individuals covered by the system: Individuals who are traveling at government expense. Categories of records in the system.
- **Trip Reports--Interior, BIA--15.**
Categories of individuals covered by the system: Federal employees who are assigned to travel as part of their job.
- **Travel Files--Interior, BIA--16.**
Categories of individuals covered by the system: Federal employees who are authorized to travel at government expense.

- **Law Enforcement Services--Interior, BIA--18.**
Categories of individuals covered by the system: (1) Individuals violating laws on Indian Reservations and those who appear in court for violations of 25 CFR regulations. (2) Individuals primarily interested in Indian Affairs who advocate violence as a means of obtaining their goals.
- **Indian Association Stock Purchase Records--Interior, BIA--19.**
Categories of individuals covered by the system: Indian stockholders.
- **Correspondence Files System--Interior, BIA--20.**
Categories of individuals covered by the system: Individuals who correspond with or apply to the BIA Central Office on significant business or program matters.
- **Correspondence Control System--Interior, BIA--21.**
Categories of individuals covered by the system: U.S. Senators and Congressmen, Governors of States, Indian leaders
- **Indian Student Records--Interior, BIA--22.**
Categories of individuals covered by the system: Student case files, attendance and performance records, banking records and expenditures of tribal benefit funds, and applications for grants and grant agreements; and records concerning an individual's misuse of BIA scholarship; or educational grant funds or as a result of that individual's receipt of payment or overpayment of funds for which the individual was not eligible or entitled.
- **Employment Assistance Case Files--Interior, BIA--23.**
Categories of individuals covered by the system: Individual Indians who are given assistance in connection with direct employment service or adult vocational training.
- **Timber Cutting and Fire Trespass Claims Case Files--Interior, BIA--24.**
Categories of individuals covered by the system: Indian landowners who have filed trespass claims for fire or cutting damage to Indian forest lands under Bureau of Indian Affairs supervision.
- **Integrated Records Management System--Interior, BIA—25**
Categories of individuals covered by the system: Individual Indian and Indian Tribal Groups that are owners of real property held in trust by the Government, individuals or groups that are potential or actual lessees of that property, individuals who have been assigned interests of any in Indian Tribes, Pueblos or corporations, and individual Indians who have money accounts.
- **Indian Electric Power Utilities-Interior, BIA—26**
Categories of individuals covered by the system: Individual Indians and non-Indians desiring electric power service for households, farms, small businesses and organizations. Categories of records in the system.

III. U.S. Geological Survey.

- **Contract Files--Interior, GS--5.**
Categories of individuals covered by the system: Individuals who have contracts or subcontracts with the Geological Survey and certain contractor employees. The system also contains records concerning individuals in their entrepreneurial capacity, corporations and other business entities. These records are not subject to the Privacy Act.
- **Personal Property Accountability Records--Interior, GS--7.**
Categories of individuals covered by the system: Geological Survey employees who are accountable for bureau-owned controlled property.

- **National Research Council Grants Program--Interior, GS--9.**
Categories of individuals covered by the system: Individuals assigned to U.S. Geological Survey who are considered for grants made through the National Research Council. Categories of records in the system.
- **Security--Interior, GS--11.**
Categories of individuals covered by the system: Geologic Division employees who have been granted security clearances.
- **Manuscript Processing--Interior, GS--13.**
Categories of individuals covered by the system: Geologic Division authors of Geo-Science publications.
- **Earth Science Information Customer Records--Interior, GS--15.**
Categories of individuals covered by the system: Individuals who have requested Earth Science information directly from, or whose requests have been forwarded to the Earth Science Information Office or its sponsored field centers. The system also contains records concerning individuals in their entrepreneurial capacity, corporations, and other business entities whose records are not subject to the Privacy Act.
- **Computer Registration System--Interior, GS--18.**
Categories of individuals covered by the system: Users of computer services.
- **Photo File System--Interior, GS--20.**
Categories of individuals covered by the system: U.S.G.S. employees.
- **Personnel Investigations Records--Interior, GS--23.**
Categories of individuals covered by the system: 1. Current Geological Survey employees and contractors who (a) are granted access to classified information; (b) are filling public trust positions not requiring access to classified information; (c) are being considered either for access to classified information or for filling public trust positions not requiring access to classified information; and (d) are found unsuitable for access to classified information or filling public trust positions because unfavorable information was revealed during the conduct of their security investigations. 2. Former Geological Survey employees and contractors who (a) were granted access to classified information; (b) were filling public trust positions not requiring access to classified information; and (c) were found unsuitable for access to classified information or filling public trust positions because unfavorable information was revealed during the conduct of their security investigations.
- **Employee Work Report Edit and Individual Employee Production Rates--Interior, GS--24.**
Categories of individuals covered by the system: All employees in Mapping Centers
- **Water Data Sources Directory--Interior, GS--25.**
Categories of individuals covered by the system: Individuals who are sources of water or water-related data and services and to whom requesters can be referred to obtain water data held or services provided by them.
- **National Water Data Exchange User Accounting System--Interior, GS--26.**
Categories of individuals covered by the system: Individuals who have requested water data from or to whom information has been sent by the National Water Data Exchange and its Local Assistance Centers.
- **Office of Management Services (OMS) Badging and Access Control System, GS--27.**
Categories of individuals covered by the system: USGS employees and contractors who receive a USGS identification badge that is also an access control system card key for the National Center.

IV. Minerals Management Service.

- **Personal Property Accountability Records--Interior, MMS--2.**
Categories of individuals covered by the system: Employees of MMS and OSM who are accountable for Government owned controlled property.
- **Accident Reports and Investigations--Interior--MMS--3.**
Categories of individuals covered by the system: All personnel of the Minerals Management Service (MMS) who have had on-the-job accidents.
- **Personnel Security System, Interior, MMS--4.**
Categories of individuals covered by the system: Current and former Minerals Management Service (MMS) employees and contract employees working for the MMS who: (1) Have been subject to personnel security investigations to determine suitability for placement in sensitive positions, require access to national security information, and/or require ADP access authorization and/or (2) require access to MMS buildings or individual offices.
- **Telephone/Employee Locator System (TELS)--Interior, MMS--5.**
Minerals Management Service (MMS) employees Service-wide, and contractor personnel.
- **Advanced Budget/Accounting Control and Information System (ABACIS)--Interior, MMS--8.**
Categories of individuals covered by the system: All debtors including employees, former employees, persons paying for goods or services, returning overpayments, or otherwise delivering cash, business firms, private citizens and institutions. Some of the records in the system pertain to individuals and may reflect personal information. Only the records reflecting personal information are subject to the Privacy Act.
- **Employee Counseling Services Program--Interior, MMS-9.**
Categories of individuals covered by the system: All Minerals Management Service employees, former employees, and their family members who seek, are referred, and/or receive assistance through the Employee Counseling Services Program. The records contained in this system which pertain to individuals contain principally personal and/or medical information. These records are subject to the Privacy Act.
- **Lessee/Operator Training Files, Interior, MMS--12.**
Categories of individuals covered by the system: Personnel who have participated in well control, safety device, workover and well completion training programs.

V. Office of Surface Mining Reclamation and Enforcement.

- **Property Control--Interior, OSMRE--4.**
Categories of individuals covered by the system: Employees who have custody or responsibility for OSMRE property.
- **Safety Files--Interior, OSM--6.**
Categories of individuals covered by the system: Persons who have had an accident, injury, illness or fatality or are associated with a health hazard, radioactive materials, and radiation producing media in performance of job related duties or while a visitor.
- **Personnel Security Files--Interior, OSMRE--7.**
Categories of individuals covered by the system: OSMRE personnel who have been investigated under E.O. 10450 for suitability and/or security considerations.

- **Employment and Financial Interest Statements--States and Other Federal Agencies--Interior, OSM--8.**

Categories of individuals covered by the system: 1. Office of Surface Mining employees by 30 CFR 706.15(a); 2. The head of each State regulatory authority who is required to file a financial statement with the Director of the Office of Surface Mining Reclamation and Enforcement by 30 CFR 705.15; 3. Federal employees, other than Interior Department employees, who are required to file a financial interest statement by 30 CFR 706.11(b) and who file with the Director of the Office of Surface Mining Reclamation and Enforcement in accordance with 30 CFR 706.15(c); and 4. State employees, and Federal employees other than Interior Department employees, whose financial interest statements are referred to the Department of the Interior in accordance with 30 CFR 705.19(a)(3) or 30 CFR 706.19(c).

- **Net Worth Determination File--Interior, OSMRE-10.**

Categories of individuals covered by the system: The categories of individuals covered by the system consist of all individuals on which OSM has received Net Worth Determinations for the purpose of evaluating financial worth. These include individuals associated with corporations which owe civil penalties or abandoned mine land (AML) reclamation fees or which have uncorrected mining violations. Also included are individuals who owe civil penalties or AML fees or who have uncorrected mining violations incurred either as sole proprietors or as members of partnerships, and individuals subject to audit of AML reporting requirements. Only records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities, but these records are not subject to the Privacy Act.

- **Collection Management Information System (CMIS)--Interior, OSMRE-11.**

Categories of individuals covered by the system: The system contains related information about individuals and business entities (companies, corporation, etc.) who are cited as violators of the Surface Mining Control and Reclamation Act (SMCRA) of 1977. This information relates to type of violation cited, financial assessments, and financial resolution.

- **Blaster Certification, OSM-12.**

Categories of individuals covered by the system: The system contains applicants for certification as blasters in Federal Program States and on Indian Lands. Each application will be for one type of blaster certificate or purpose, from the following categories; issuance, renewal, reissuance, reexamination, replacement, or reciprocity. The application form will contain information on; personal data, examination dates, employment history, blasting experience, education, blaster training, blaster certification history, law violation history, and personal affirmation of all of the above information.

VI. National Park Service.

- **Special Use Permits--Interior, NPS--1.**

Categories of individuals covered by the system: Visitor to National Parks or commercial entities who receive special use permits.

- **Land Acquisition and Relocation Files--Interior, NPS--2.**

Categories of individuals covered by the system: Owners and tenants of land within National Parks.

- **Land Acquisition Management Information System and Master Deed Listing--Interior, NPS--3.**

Categories of individuals covered by the system: Owners and tenants of land within the National Park System.

- **Travel Records--Interior, NPS--4.**

Categories of individuals covered by the system: National Park Service employees who travel on official business.

- **Retirement Record--Interior, NPS--5.**
Categories of individuals covered by the system: Employees and former employees of the National Park Service.
- **Audiovisual Performance Selection Files--Interior, NPS--6.**
Categories of individuals covered by the system: Actors, actresses, and narrators who have been used in NPS productions.
- **National Park Service Historical Library--Interior, NPS--7.**
Categories of individuals covered by the system: Present and retired employees of the National Park Service and its Associates.
- **Property and Supplies Accountability--Interior, NPS--8.**
Categories of individuals covered by the system: National Park Service employees, contractors, and contract employees.
- **Central Files--Interior, NPS—10**
Categories of individuals covered by the system: Members of Congress, NPS employees, and private citizens who have corresponded with the Park Service.
- **U.S. Park Police Personnel Photograph File--Interior, NPS--12.**
Categories of individuals covered by the system: All U.S. Park Police Officers.
- **Concessioners--Interior, NPS--13.**
Categories of individuals covered by the system: Individuals who are the National Park Service concessioners (System also contains records on corporations and other business entities holding concession contracts which are not subject to the Privacy Act).
- **Concessioner Financial Statement and Audit Report Files--Interior, NPS--14.**
Categories of individuals covered by the system: Individuals who are the National Park Service Concessioners (System also contains records, not subject to the Privacy Act, on corporations and other business entities holding concession contracts).
- **Concessions Management Files--Interior, NPS--15.**
Categories of individuals covered by the system: The National Park Service concessioners and prospective concessioners (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the systems which pertain to individuals may reflect personal information, however, only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.).
- **Employee Financial Irregularities--Interior, NPS--17.**
Categories of individuals covered by the system: Employees and former employees of the National Park Service
- **Collection, Certifying and Disbursing Officers, and Imprest Fund Cashiers--Interior, NPS--18.**
Categories of individuals covered by the system: Employees of the National Park Service with the above funds handling titles.
- **Case Incident Reporting System--Interior, NPS--19.**
Categories of individuals covered by the system: Individual complainants in criminal cases, individuals investigated or arrested for criminal or traffic offenses, or involved in motor vehicle accidents, or certain types of non-criminal incidents.

- **Visitor Statistical Survey Forms--Interior, NPS--21.**
Categories of individuals covered by the system: Visitors to the National Park Service administered areas who have participated in surveys conducted during their visits to the areas or via mail, or telephone as a result of their visit.
- **Motor Vehicle Operations Program--Interior, NPS--22.**
Categories of individuals covered by the system: National Park Service employees who are assigned United States Government Motor Vehicle Operator Permits for temporary use.

VII. U.S. Fish and Wildlife Service.

- **Tort Claim Records--Interior, FWS--4.**
Categories of individuals covered by the system: Claimants for damages to personal property or personal injury.
- **National Wildlife Refuge Special Use Permits--Interior, FWS--5.**
Categories of individuals covered by the system: Applicants who apply for special use permits and cooperative farm agreements on National Wildlife Refuges.
- **Water Development Project and/or Effluent Discharge Permit Application Review--Interior, FWS--7.**
Categories of individuals covered by the system: Individuals who apply for permits from other regulatory agencies including the Corps of Engineers, and the Environmental Protection Agency. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities.
- **National Fish Hatchery Special Use Permits--Interior, FWS--10.**
Categories of individuals covered by the system: The records are on individuals who applied for special use permits on National Fish Hatcheries.
- **Real Property Records--Interior, FWS--11.**
Categories of individuals covered by the system: The records are on landowners, tenants and permittees from whom the U.S. Fish and Wildlife Service has acquired land, water, or interests therein.
- **Endangered Species Licensee System--Interior, FWS--19.**
Categories of individuals covered by the system: The records are on individuals who request a license to import or export fish and/or wildlife products. The system also has records on corporations and other business entities. Only the records reflecting personal information are subject to the Privacy Act.
- **Investigative Case File System--Interior, FWS--20.**
Categories of individuals covered by the system: The records are on individuals who are the subjects of U.S. Fish and Wildlife Service law enforcement investigations.
- **Permits System--Interior, FWS--21.**
Categories of individuals covered by the system: Applicants for permits to conduct certain activities in areas of fish and wildlife. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

- **U.S. Deputy Game Warden--Interior, FWS--22.**
Categories of individuals covered by the system: The records are on individuals who apply for U.S. Deputy Game commissions
- **Contract and Procurement Records, FWS--25.**
Categories of individuals covered by the system: Individuals submitting unsolicited proposals or replying to solicitations for bids. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)
- **Migratory Bird Population and Harvest Systems--Interior, FWS--26.**
Categories of individuals covered by the system: The U.S. Fish and Wildlife Service records are on (1) individuals who participate in migratory bird population surveys; and (2) individuals who submit their harvest data for migratory game birds.
- **Correspondence Control System--Interior, FWS--27.**
Categories of individuals covered by the system: The records are on U.S. Senators and Representatives, Governors of States, and members of the public.
- **Marine Mammals Management, Marking, Tagging and Reporting Program, FWS--30.**
Categories of individuals covered by the system: Alaska Native hunters of polar bear, sea otter, and walrus.
- **National Conservation Training Center (NCTC) Training Server System, FWS-34.** (Published in April 11, 2002, 67 FR 17711-17713). Categories of individuals covered by the system: Records are maintained on those individuals who participate in NCTC-sponsored training. This includes FWS employees as well as employees from other Federal agencies and non-Federal personnel from other States, private agencies, and universities. Training records are also kept on all FWS employees.

VIII. Bureau of Reclamation.

- **Claims--Interior, Reclamation-5.**
Categories of individuals covered by the system: Individuals who have filed tort, employee, or appropriation act claims, and claims under the Teton Dam Disaster Assistance Act.
- **Concessions—Interior, WBR-7**
Categories of individuals covered by the system: Individual concessionaires. Records in this system pertaining to individuals contain information concerning sole proprietorships, but may also reflect personal information. In addition, the system maintains records concerning corporations and other business entities. Only the records relating to individuals are covered by the Privacy Act.
- **Identification/Security Cards, Reclamation-11.**
Categories of individuals covered by the system: All individuals who have access to Reclamation's buildings and facilities. These include, but are not limited to, the following: Current and former agency employees and contractors, persons authorized to perform or to use services provided by Reclamation (e.g., credit unions, fitness centers, etc.), volunteers, and visitors
- **Inventions and Patents--Interior, Reclamation-12.**
Categories of individuals covered by the system: Bureau of Reclamation (Reclamation) employees who have been granted patents or who are seeking patents.

- **Irrigation Management Service--Interior, Reclamation-13.**
Categories of individuals covered by the system: Individuals receiving irrigation waters from Bureau of Reclamation constructed facilities.
- **Land Exchange--Interior, Reclamation-14.**
Categories of individuals covered by the system: Individual land exchange for Bureau of Reclamation purposes.
- **Land Settlement Entries--Interior, Reclamation-15.**
Categories of individuals covered by the system: Individual applicants who wish to settle on lands on Federal Bureau of Reclamation Projects.
- **Lands--Leases, Sales, Rentals, and Transfers--Interior, Reclamation-17.**
(1) Individuals who are applicants to lease, purchase, rent, or transfer lands; and (2) Individuals who are successful applicants. Categories of records in the system
- **Mineral Location Entries--Interior, Reclamation-19.**
Categories of individuals covered by the system: Individual who has mineral entry on land Bureau of Reclamation needs for reclamation purposes.
- **Movable Property Individual Responsibility--Interior, Reclamation-21.**
Individual employees who are responsible for movable property.
- **Oil and Gas Applications--Interior, Reclamation-22.**
Categories of individuals covered by the system: Individuals who have filed for oil and gas leases.
- **Personal Author Reports--Interior, Reclamation-25.**
Categories of individuals covered by the system: Individuals who have filed for oil and gas leases.
- **Real Property and Right-of-Way Acquisitions--Interior, Reclamation-28.**
Categories of individuals covered by the system: (1) Individual landowners from whom the Bureau of Reclamation has purchased or condemned land, exercised reserved right-of-way, or received donation deeds; or (2) from whom the Bureau of Reclamation is in the process of acquiring land or interests therein.
- **Right-of-Way Applications--Interior, Reclamation-29.**
Categories of individuals covered by the system: Applicants for right-of-way may or may not be identified by Bureau of Land Management serial numbers, land description, value, and instrument of ownership.
- **Acreage Limitation--Interior, Reclamation-31.**
Categories of individuals covered by the system: Individuals that directly or indirectly own or lease land that is subject to the acreage limitation provisions of Federal reclamation law, and individuals that operate such land.
- **Special Use Applications, Licenses, and Permits--Interior, Reclamation-32.**
Categories of individuals covered by the system: Individuals who secure licenses and permits concerning Bureau of Reclamation-owned facilities.
- **Thefts Listing--Interior, Reclamation-34.**
Individuals reporting loss or theft.
- **Trespass Cases--Interior, Reclamation-37.**
Categories of individuals covered by the system: Individual who trespasses on Government-owned property.
- **Water Right Applications--Interior, Reclamation-38.**
Categories of individuals covered by the system: Material pertaining to acquisition by the Bureau of Reclamation, by purchase or donation, etc., of water rights by others.

- **Water Rights Acquisition--Interior, Reclamation-39.**
Categories of individuals covered by the system: Material pertaining to acquisition by the Bureau of Reclamation, by purchase or donation, etc., of water rights by others.
- **Water Sales and Delivery Contracts--Interior, Reclamation-40.**
Categories of individuals covered by the system: Individuals who purchase excess water, water from unassigned reservoir space for irrigation or domestic use.
- **Permits--Interior, Reclamation-41.**
Categories of individuals covered by the system: (1) Individual who permits Bureau of Reclamation employees to enter on his land, and (2) Individual with permits to enter Bureau of Reclamation land or facilities.
- **Recordable Contracts--Interior, Reclamation-42.**
Individual landowner who wishes to receive irrigation water from a Reclamation project.
- **Real Estate Comparable Sales Data Storage--Interior, Reclamation-43.**
Categories of individuals covered by the system: Individuals who own or lease property adjacent to or within the vicinity of property owned or leased by the Bureau of Reclamation.
- **Equipment, Supply, and Service Contracts INTERIOR/WBR-45**
Categories of individuals covered by the system: Individuals who have entered into contracts with the agency for equipment, supplies, and services. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)
- **Employee Trip Reports--Interior, Reclamation-46.**
Staff personnel who have been authorized to review construction, maintenance, land matters, administrative duties, etc.
- **Lower Colorado River Well Inventory, BOR-48.**
Categories of individuals covered by the system: Individuals and/or their lessees who have at least one well on their property that may pump mainstream Colorado River water. Note: This system also contains records pertaining to corporations and other public entities. Only those records relating to individuals are covered by the Privacy Act.

IX. Bureau of Land Management.

- **Range Management System--Interior, BLM--2.**
Categories of individuals covered by the system: Individuals owning grazing leases and permits issued by BLM.
- **Mineral Lease Management--Interior, BLM--3.**
Categories of individuals covered by the system: Individuals owning mineral leases issued by BLM.
- **Coal Lease Data System--Interior, BLM--4.**
Categories of individuals covered by the system: Individuals owing federal coal leases issued by BLM.
- **Mineral Surveyor Appointment File--Interior, BLM--6.**
Categories of individuals covered by the system: Applicants for a Mineral Surveyor appointment and holders of an appointment.

- **Aircraft Passenger Manifest Records--Fire Control--Interior, BLM--8.**
Categories of individuals covered by the system: Individuals moved on government or chartered aircraft to or from a fire area.
- **Property and Supplies Accountability--Interior, BLM--9.**
Categories of individuals covered by the system: Individuals who are accountable for property and supplies.
- **Vehicle Use Authorization--Interior, BLM--10.**
Categories of individuals covered by the system: Individuals who have been authorized to use government vehicles for official business and for use between residence and place of employment.
- **Identification Cards and Passes--Interior, BLM--11.**
Categories of individuals covered by the system: Individuals issued a pass or identification card.
- **Manpower Management--Interior, BLM--12.**
Categories of individuals covered by the system: BLM employees.
- **Safety Management Information--Interior, BLM--13.**
Categories of individuals covered by the system: BLM employees involved in a work related accident, private individuals involved in a BLM employee related accident, and private individuals involved in an accident on national resource lands or facilities
- **Security Clearance Files--Interior, BLM--14.**
Categories of individuals covered by the system: BLM employees.
- **Correspondence --Interior, BLM--15.**
Categories of individuals covered by the system: Individuals and Congressmen who have corresponded with BLM and whose correspondence has been placed under control.
- **Mineral and Vegetal Material Sales--Interior, BLM--16.**
Categories of individuals covered by the system: Purchasers of mineral and vegetal materials.
- **Criminal Case Investigation--Interior, BLM--18.**
Categories of individuals covered by the system: Individuals suspected of violation of Federal Law concerning National Resource lands, resources or facilities.
- **Civil Trespass Case Investigations--Interior, BLM--19.**
Categories of individuals covered by the system: Individuals suspected of and confirmed trespass on National Resource lands.
- **Employee Conduct Investigations--Interior, BLM--20.**
Categories of individuals covered by the system: BLM employees.
- **Travel--Interior, BLM--21.**
Categories of individuals covered by the system: Individuals authorized to travel at Government expense.
- **Financial Management--Interior, BLM--22.**
Categories of individuals covered by the system: Individuals authorized to travel at Government expense.
Categories of individuals covered by the system: Vendors and their designated payee who have sold items to BLM. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act).

- **Contract Files--Interior, BLM--23.**
Categories of individuals covered by the system: Individuals who have contracted with BLM to supply goods or services and prospective suppliers and bidders. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)
- **Copy Fee Deposit--Interior, BLM--24.**
Categories of individuals covered by the system: Individuals who have deposited money with Bureau of Land Management to be used to pay for copies of records, lists, maps or other documents.
- **Incentive and Honor Awards--Interior, BLM--26.**
Categories of individuals covered by the system: BLM employees who have submitted a beneficial suggestion and individuals recommended or nominated for a performance or honor award.
- **Real Estate Appraiser Roster--Interior, BLM--27.**
Categories of individuals covered by the system: Individuals, not regular federal employees, who have requested that they be listed by geographic areas on rosters of appraisers available to do contract appraisals for BLM.
- **Adopt a Wild Horse--Interior, BLM--28.**
Categories of individuals covered by the system: Applicable to obtain custody of a wild horse or burro.
- **Uniform Accountability System--Interior, BLM--30.**
Categories of individuals covered by the system: BLM employees or volunteers authorized to wear the uniform.
- **Name File System--Interior, LLM-31.**
Categories of individuals covered by the system: Individuals, companies, partnerships, and governmental agencies transacting business with the Bureau of Land Management relating to lands and minerals programs. Some of the records in the system which pertain to individuals may reflect personal information, however, only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.
- **Land & Minerals Authorization Tracking System--Interior, LLM-32.**
Categories of individuals covered by the system: Individuals, companies, partnerships, and governmental agencies transacting business with the Bureau of Land Management relating to lands and minerals programs. Some of the records in the system which pertain to individuals may reflect personal information, however, only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.
- **Collections and Billings System (CBS)--Interior, BLM --35.**
Categories of individuals covered by the system: Any business or individuals transacting financial actions with the Bureau of Land Management. Only records reflecting personal information are subject to the Privacy Act. This system also contains records concerning corporations and other business entities, but these records are not subject to the Privacy Act.

X. Office of Hearings and Appeals.

- **Hearings and Appeals Files, OHA--1.**

Categories of individuals covered by the system: Individuals involved in hearings and appeals proceedings before the Hearings Division, Appeals Boards, and/or the Director of the Office of Hearings and Appeals (or his/her designee/s).

XI. Office of the Solicitor.

- **Litigation, Appeal and Case Files--Interior, Office of the Solicitor--1.**

Categories of individuals covered by the system: Individuals involved in litigation with the United States, or the Department of the Interior, officials or constituent units thereof; individuals involved in administrative proceedings before the Department, to which the Department is a party or in which it has an interest; individuals suspected of violations of criminal and civil statutes or regulations or orders the violation of which carries criminal penalties; individuals who have applied to the Department for permits, grants or loans; individuals who have appealed to the Office of the Solicitor from the decisions of other constituent units of the Department; individuals involved in negotiations, claims or disputes with the Department; individuals for whom the Department has performed legal services.

- **Claims Files--Interior, Office of the Solicitor--2.**

Categories of individuals covered by the system: Individuals who have filed Tort, Federal Employee, Admiralty or Irrigation claims.

- **Patent Files--Interior, Office of the Solicitor--3.**

Categories of individuals covered by the system: Inventors of Inventions arising from Government research and development programs; and applicants for Patents, Trademark Registrations, and Copyright Registrations.

- **Workload Analysis--Interior, Office of the Solicitor--4.**

Categories of individuals covered by the system: Attorneys employed in the Office of the Solicitor.

- **SMCRA Litigation Tracking System (LTS)--Interior, Office of the Solicitor-5.**

Categories of individuals covered by the system: The system contains the names of individuals and entities responsible for unabated federal violations, unpaid federal civil penalties, or outstanding abandoned mine land reclamation fees (AML fees) arising under the Surface Mining Control and Reclamation Act of 1977, 30 U.S.C. 1201 et seq. (SMCRA), where the Office of Surface Mining Reclamation and Enforcement (OSMRE) has referred the outstanding violation or debt to the Solicitor's Office for litigation, and the names of individuals or entities who own or control entities responsible for such unabated federal violations, unpaid federal civil penalties, or outstanding AML fees arising under SMCRA. Although the system of records contains information about individuals and entities, only the records about individuals are subject to the provisions of the Privacy Act.

GOVERNMENTWIDE SYSTEMS OF RECORDS

Equal Employment Opportunity Commission

- **EEOC/GOVT-1: EEOC Records and Appeal Records**

Records on applicants for Federal employment and current and former Federal employees who file complaints of discrimination or reprisal, or who file appeals with EEOC from agency decisions, petitions for review of decisions of the MSPB, or requests for review of final decisions in negotiated grievance actions.

Federal Emergency Management Agency

- **FEMA/GOVT-1: National Defense Executive Reserve (NDER) System**

Applicants for an incumbents of NDER assignments, including FEMA Form 85-3, NDER Qualifications Statement, which is used to determine membership for units of the NDER in Federal departments and agencies in accordance with Executive Order No. 11179 as amended by Executive Order No. 12146

General Services Administration

- **GSA/GOVT-2: Employment Under Commercial Activities Contracts**

Records on former Federal employees involuntarily separated from government employment as a result of a commercial activity contract. The system is used to provide government agencies with necessary information on former Federal employees hired by contractors to ensure the proper distribution of severance pay by the government

- **GSA/GOVT-3: Travel Charge Card Program**

Records of current Federal employees who use government assigned charge cards while in travel status. It is used to provide government agencies with (1) necessary information on the commercial travel and transportation payment and expense control system which will provide travelers charge cards for official travel and related travel expenses on a worldwide basis; (2) attendant operational and control support; and (3) management information reports for expense control purposes.

- **GSA/GOVT-4: Contracted Travel Service Program**

Records on individuals who are current Federal employees on travel and individuals being provided travel by the government. It is used to enable travel agents who are under contract to the Federal government to issue and account for travel provided to individuals.

- **GSA/GOVT-5: Access Certificates for Electronic Services (ACES)**

Individuals covered are persons who have applied for the issuance of digital signature certificate under the ACES program; have had their certificates amended, renewed, replaced, suspended, revoked, or denied; have used their certificates to electronically make contact with, retrieve information from, or submit information to an automated information system of a participating agency; have requested access to ACES records under the FOIA or Privacy Act; and have corresponded with GSA or its ACES contractors concerning ACES services.

Department of Labor

- **DOL/GOVT-1: Federal Employers' Compensation Act File**

Records on FECA benefit recipients. These are Federal employees injured in the performance of duty, or beneficiaries of employees killed in the performance of duty. Includes the information and verification about covered employees' work related injuries, entitlement to medical treatment and vocational rehabilitation; entitlement to and computation of continuation of pay, compensation, survivors' benefits under FECA and certain other statutes.

- **DOL/GOVT-2: Jobs Corps Student Records**

Information on Employment Training Administration Job Corps students and former students.

Merit System Protection Board

- **MSPB/GOVT-1: Appeal and Case Records**

Records on (a) current and former Federal employees, applicants for employment, annuitants, and other individuals who have filed appeals with MSPB, or with respect to whom a Federal agency has petitioned MSPB concerning any matter over which the Board has jurisdiction; and (b) current and former employees of State and local governments who have been investigated by the Special Counsel and have had a hearing before MSPB concerning possible violation of the Hatch Act

Office of Government Ethics

- **OGE/GOVT-1: Executive Branch Public Financial Disclosure Reports and Other Ethics Program Records**

Records on the President, the Vice President, candidates for those offices, Administrative Law Judges, members of uniformed services at pay grade 0-7 or above, the Postmaster General and Deputy Postmaster General, designated agency ethics officials, appointed civilians to the Executive Office of the President, nominees for positions requiring Senate confirmation, and many government officials in the Senior Executive Service, other positions above GS-15, and Schedule C appointees.

- **OGE/GOVT-2: Confidential Statements of Employment and Financial Interests**

Records of former or current employees required by their agency to file such statements in order to meet requirements of Executive Order Nos. 12674 (as modified by 12731), 12565, and 11222; OGE regulations and section 107 of the Ethics in Government Act of 1978 concerning the filing of employment and financial interest statements, which are reviewed for conflict of interest purposes.

Office of Personnel Management

- **OPM/GOVT-1: General Personnel Records**

Records on current and former Federal employees as defined in 5 U.S.C.2105, including OPM's Central Personnel Data File and related agency personnel management information systems. The Official Personnel Folders and other general personnel records files are the official repository of the records, reports of personnel actions, and the documents and papers required in connection with actions affected during an employee's Federal service.

- **OPM/GOVT-2: Employee Performance File System Records**

Records on current or former Federal employees, including appointees to the Senior Executive Service maintained on an employee's performance.

- **OPM/GOVT-3: Adverse Actions and Actions Based on Unacceptable Performance**

Records on current or former Federal employees, including Senior Executive Service employees, against whom such an action has been proposed or taken in accordance with 5 C.F.R. 432, 732, 752, or 754.

- **OPM/GOVT-4: [Reserved]**

- **OPM/GOVT-5: Recruiting, Examining, and Placement Records**

Records on (a) persons who have applied to OPM or agencies for Federal employment, and current and former Federal employees submitting applications for other positions in

the Federal Service; and (b) applicants for Federal employment believed or found to be unsuitable for employment on medical grounds.

- **OPM/GOVT-6: Personnel Research and Test Validation Records**

Records on current and former Federal employees, applicants for Federal employment, current and former state and local government employees, and applicants for State and local government employment, selected private sector employees and applicants for sample comparison groups used by OPM or other Federal agencies for the construction, analysis, and validation of written tests and for research on and evaluation of personnel or organizational management and staffing methods, including workforce effectiveness studies.

- **OPM/GOVT-7: Applicant - Race, Sex, National Origin and Disability Status Records**

Records on current and former Federal employees and individuals who have applied for Federal employment used by OPM and agencies to evaluate personnel/organizational measurement and selection records, implement and evaluate Federal Equal opportunity Recruitment and affirmative action programs, prepare reports regarding breakdown by race, sex, and national origin of applicants, and to locate individuals for personnel research.

- **OPM/GOVT-9: Position Classification Appeals, Job Grading Appeals, and Retained Grade or Pay Appeals**

Records on current and former Federal employees who have either (a) filed a position classification appeal or a job grading appeal with OPM's Agency Compliance and Evaluation, an OPM regional office, or with their agencies; or (b) filed a retained grade or pay appeal with OPM's Agency Compliance and Evaluation or an OPM regional office, used to document the processing and adjudication of the appeal.

- **OPM/GOVT-10: Employee Medical File System Records**

Records on current and former civilian Federal employees maintained to ensure proper medical evaluation, diagnosis, history, treatment, and continuity of care; documentation of hazard exposures, treatment, medically-related employment decisions, and communications among health care providers, including planning of further care, evaluation of the quality of health care rendered, and to ensure proper operation of an agency's drug testing program.

SPECIAL COUNCIL OFFICE

- **OSC/GOVT-1**

Categories of individuals covered by the system: The principal categories of individuals covered by the system are persons filing allegations of prohibited personnel practices, improper political activity, or other alleged prohibited activities; persons identified as engaging or participating in such practices or activities; persons filing disclosures of alleged wrongdoing by federal agencies, and persons identified as engaging or participating in such wrongdoing; persons charged by the OSC in disciplinary action complaints filed by the OSC with the Merit Systems Protection Board (MSPB); and plaintiffs seeking remedies against the OSC in litigation related to the performance of its official functions.